

# Municipal Civil Service

**Understanding the Process** 

Presented by Maria Kenneally and Janna Shillinglaw 911 Coordinators Conference, Ithaca, NY

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### **Today's Objectives**

- Overview the Civil Service System
- Clarify the Roles of ...
  - Appointing Authorities
  - Municipal Civil Service Agencies
  - New York State Civil Service
- Discuss Classifying Local Gov't Positions
- Review the Examination & Appointment Process



#### Structure of Civil Service

# New York State Civil Service

- Ensures Proper Administration at Municipal Level
- Provides Technical Advice and Assistance to Municipal Civil Service Agencies

# <u>Municipal Civil Service</u>

- Wide Range of Authority and Responsibilities
- Administers Civil Service for Local Government Positions



#### **New York State Civil Service**

#### **New York State Civil Service Commission**

- 3 Members Appointed by the Governor
  - » President currently vacant
  - » Commissioner Caroline Ahl
  - » Commissioner Jeanique Greene
- Acts on Local Rules Resolutions
- Ensures Proper Administration
  - Merit System Administration and Technical Assistance Reviews

#### New York State Department of Civil Service

- Acting Commissioner Lola W. Brabham
- Position Classification Services
- Examination Services
- Technical Advice and Assistance



### **Municipal Service Division**

 Technical Assistance & Training for 94 Civil Service Agencies

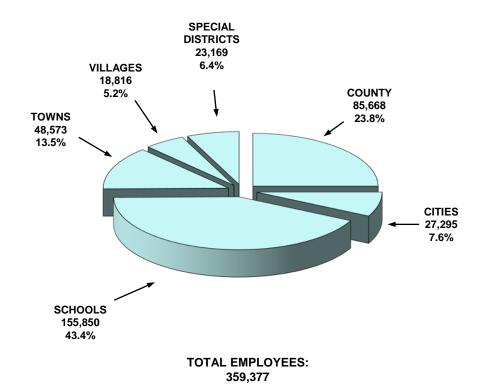
- Local Rules Resolution Processing
- Section 211 Waiver Processing



### **Municipal Civil Service Administration**

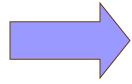
94 Municipal Civil Service Agencies

- 56 Counties
- 35 Cities
- 2 Towns
- 1 Regional Nearly 400,000 local government employees in the classified service

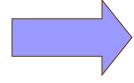




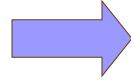
# **Objectives of Civil Service**



Selection Based on Merit



Fair & Open Competition



**Career System** 



# **Legal Hierarchy**

### **State Constitution -- Article V, Section 6**

Very Broad – Mandates The Merit And Fitness System

### **Civil Service Law**

Less Broad – Frames The System As It Applies To Everyone Establishes Local Rulemaking Authority

### **Local Civil Service Rules**

More Detailed – Apply Only To The Jurisdiction Adopted By Local Commission Or Personnel Officer Have The Force And Effect Of Law



#### What Local Rules Cover

- Position Classification
- Jurisdictional Classification
- Recruitment
- Appointments
- Promotions
- Probationary Term
- Transfers
- Layoff
- Reinstatement



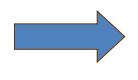
#### What is Position Classification?

- Grouping together, under common and descriptive titles, of positions that are substantially similar in essential character and scope of duties and responsibilities and in qualification requirements.
- Includes ...
  - Title
  - Description of Duties
  - Required Knowledge, Skills & Abilities
  - Minimum Qualifications



#### **Roles in Position Classification**

**Appointing Authority** 



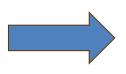
Structures Position

**Civil Service** 



**Certifies Title** 

**Legislative Body** 



Creates/Funds
Position



### **Key Points About Position Classification**

1. Classified **BEFORE** Creation

Created <u>ONLY</u> With Title Certified by Civil Service

3. Local Civil Service Has **SOLE** Authority to Classify Jobs



### **Key Points About Position Classification**

**Qualifications – Where Do they Come From?** 

**Official Minimum Qualifications** 

Statutory or regulatory requirement for appointment

Appointing Authority desired selection criteria



#### Recruitment

#### **Appointing Authority**

- SWOT analysis
- Create New Opportunities

#### **Civil Service Agency**

- Exam Planning & Announcing
- Review & Approve Appointments

#### **Together**

- Anticipate Vacancies
- Remove any Unnecessary Obstacles
- Create Paths to Promotion

- Keep Job Specification Up-to-date
- Review Resulting Eligible List
- Market Positions & Examinations



#### **Examinations**

- Open Competitive
  - Generally Used To Fill Entry Level Positions
  - Open To Anyone Who Meets Qualifications

- Promotion
  - Open To Employees In Lower Level Titles
    - Direct Line
    - Collateral Lines



#### Where Do Examinations Come From?

- New York State Department Of Civil Service
  - Produces Examinations And Provides
     Examination Materials
  - State Master Examination Schedule
  - Decentralized Examinations
- Local Civil Service
  - Orders, Announces And Administers
     Examinations



#### **Examinations**

# **Appointing Authority Responsibilities**

- Provide information about the positions for use in the class specification and exam development.
- Review and approve proposed subjects of examination.
   Raise concerns about proposed scope to civil service agency and explain reasons for requested changes to the scope.
- Decide to hire or not to hire, or to retain or to terminate during probation.



#### **Examination Statistics - 2018**

- 4,948 Competitive Examinations
  - 70,602 Candidates
- 486 Decentralized Examinations
  - 18,988 Candidates
- 104 Locally Prepared & Rated Examinations
  - 11,015 Candidates



Series Description: Entry, second and most third level titles involved in the supervision and/or performance of public safety dispatching. Entry-level positions include 911 performance qualifying test (Pass/Fail) and most entry- to second-level positions include a listening test.



**Typical Titles:** 911 Dispatcher Trainee, Emergency Services Dispatcher, Police Dispatcher, Telecommunicator, Senior Public Safety Dispatcher, Dispatch Coordinator, 911 Operations Coordinator.



Typical Qualifications Range: Graduation from high school or possession of a high school equivalency diploma to College degree and years of experience in the operation of two-way radios in police, fire or ambulance services.



Held Annually - Single Series Date,
 Typically in January

Written Exam

Listening Test

911 Performance Test



#### Trends

- Average Annual Holdings 4o exams per year
- Average Number of Local Candidates 1,000 Local Candidates
- o Average Pass Rate 80%



# Subject Matter Experts (SMES) Sought

- o Early 2020
- o Albany, N.Y.
- Email Municipal Service Division with Interest assistance.request@cs.ny.gov



# **After the Exam - Eligible Lists**

- Duration
  - Not Less Than One Nor More Than Four Years
  - Determined By Local Civil Service
- Use
  - Promotion List Used Before Open Competitive List



# Canvassing

- Not required by Civil Service Law or Rules
- Conducted to assess candidates' interest in a position
- May be conducted by the Civil Service Agency or the Appointing Authority (determination of the Civil Service Agency)
- Rules prescribe effect of non-response to canvass on candidates' eligibility for appointment



# "Rule of Three" (CSL §61)

 Selection From Among The Three Highest Candidates Willing To Accept Appointment

#### Includes

- Candidate Ranked Number One
- Candidate Ranked Number Two
- Candidate Ranked Number Three
- Everyone Whose Final Rating Equals The Score of the Candidate Ranked Number Three

#### **Certifications**

An extraction from the eligible list of candidates eligible and interested in appointment.

#### Local Civil Service Rule

- 30\* days in duration
- Once the certification is expired, no appointments except from new certification
- No obligation to appoint; can leave vacant



# Selection, Training, & Planning

#### Selection

- Interviews
- Background Checks

### Training

- Probationary Period
- Monitor Training Requirements

### Vacancy Planning

- o Promotions
- o Future recruitment



### **Probationary Term**

- Local CS rule dictates ...
  - Specifies length of probationary term
  - Evaluation required during probationary term
  - Employment may be terminated following completion of the minimum term and before the completion of the maximum term
  - After completion of the maximum term, employee is retained

# **Provisional Appointments**

- When there is no preferred or mandatory list
- Local Civil Service Rules Provide for Successive Provisional Appointments

BUT a provisional employee who

- refuses examination OR
- fails two examinations for permanent employment

cannot be given another provisional appointment in the same position\*.

\*varies among municipal agency rules



# **Temporary Appointments (§64 CSL)**

- Authorized Only When:
  - Employee Is On Leave Of Absence; Or
  - Position Is Not Expected To Exceed 6 Months
- Use Of Eligible List:
  - Duration Less Than 3 Months Without Regard To List
  - Duration Between 3-6 Months From Among Eligibles On List
  - Duration Beyond 6 Months From Among Top Three Eligibles On List
- Successive Temporary Appointments Prohibited
- Contingent Permanent Appointment



#### **Transfers in Law**

- § 70.1 of Civil Service Law
- No employee shall be transferred to a position for which there is ...an examination involving essential tests or qualifications different from or higher than those required for the position held by such employee.
- Civil Service Agencies can adopt rules governing transfers.
- Transfers are voluntary.



#### **Transfers in Rules**

- Transfer of Eligibility for Permanent
   Appointment (Model Rules-Local Agency Rules may vary)
- No preferred list
- No mandatory departmental promotion list
- Examinations' scopes and qualifications for the position are identical (or recommend by State Civil Service)
- Appointment is for the good of the service



### Reinstatements

#### Local Civil Service Rule:

"A permanent employee who has resigned from his position may be reinstated without examination within one year from the date of such resignation in the position from which he resigned, if then vacant, or in any vacant position to which he was eligible for transfer or reassignment."



<sup>\*</sup>Some agencies have different language.

### Final Points:

- Work with Municipal Civil Service Agency
- Volunteer to be a SME

# **Any Questions?**

